

## **STIC Biotechnology Systems Branch**

### **RAW SEQUENCE LISTING** **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/589,955  
Source: FWP  
Date Processed by STIC: 8/28/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 4.4.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):  
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

### ERROR DETECTED

### SUGGESTED CORRECTION

SERIAL NUMBER:

10/589,955

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1      Wrapped Nucleics  
    Wrapped Aminos      The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."
  
- 2      Invalid Line Length      The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
  
- 3      Misaligned Amino  
    Numbering      The numbering under each 5<sup>th</sup> amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.
  
- 4      Non-ASCII      The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. **Please ensure your subsequent submission is saved in ASCII text.**
  
- 5      Variable Length      Sequence(s)      contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
  
- 6      PatentIn 2.0  
    "bug"      A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)     . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
  
- 7      Skipped Sequences  
    (OLD RULES)      Sequence(s)      missing. If intentional, please insert the following lines for **each** skipped sequence:  
 (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
 (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
 (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
 This sequence is intentionally skipped  
 Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.
  
- 8      Skipped Sequences  
    (NEW RULES)      Sequence(s)      missing. If **intentional**, please insert the following lines for **each** skipped sequence.  
 <210> sequence id number  
 <400> sequence id number  
 000
  
- 9      Use of n's or Xaa's  
    (NEW RULES)      Use of n's and/or Xaa's have been detected in the Sequence Listing.  
 Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.  
 In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.
  
- 10      Invalid <213>  
    Response      Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
  
- 11      Use of <220>      Sequence(s)      missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
  
- 12      PatentIn 2.0  
    "bug"      Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
  
- 13      Misuse of n/Xaa      "n" can **only** represent a single nucleotide; "Xaa" can **only** represent a single amino acid



IFWP

## RAW SEQUENCE LISTING

DATE: 08/28/2006

PATENT APPLICATION: US/10/589,955

TIME: 09:40:09

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08282006\J589955.raw

3 <110> APPLICANT: National Institute of Advanced Industrial Science and Technology  
 4 Kinki University  
 5 Kitakyushu Foundation for the Advancement of Industry  
 7 <120> TITLE OF INVENTION: Cytoplasm-localized DNA and RNA  
 9 <130> FILE REFERENCE: 05-102NIQ  
 C--> 11 <140> CURRENT APPLICATION NUMBER: US/10/589,955  
 C--> 11 <141> CURRENT FILING DATE: 2006-08-18  
 11 <150> PRIOR APPLICATION NUMBER: JP 2004-045488  
 12 <151> PRIOR FILING DATE: 2004-02-20  
 14 <150> PRIOR APPLICATION NUMBER: JP 2004-136228  
 15 <151> PRIOR FILING DATE: 2004-04-30  
 17 <160> NUMBER OF SEQ ID NOS: 28  
 19 <170> SOFTWARE: PatentIn version 3.1

*see Jun 4 on*  
*Eno summary sheet*  
 Does Not Comply  
 Corrected Diskette Needed

*see pp 1-6*

## ERRORED SEQUENCES

133 <210> SEQ ID NO: 9  
 134 <211> LENGTH: 21  
 135 <212> TYPE: RNA  
 136 <213> ORGANISM: Artificial  
 138 <220> FEATURE:  
 139 <223> OTHER INFORMATION: Probe  
 141 <400> SEQUENCE: 9  
 E--> 142 cuacaucacg ccagucac t  
 145 <210> SEQ ID NO: 10  
 146 <211> LENGTH: 21  
 147 <212> TYPE: RNA  
 148 <213> ORGANISM: Artificial  
 150 <220> FEATURE:  
 151 <223> OTHER INFORMATION: Probe  
 153 <400> SEQUENCE: 10  
 E--> 154 guugacuggc gugauguag t  
 259 <210> SEQ ID NO: 19  
 260 <211> LENGTH: 22  
 261 <212> TYPE: RNA  
 262 <213> ORGANISM: Artificial  
 264 <220> FEATURE:  
 265 <223> OTHER INFORMATION: Linker  
 267 <220> FEATURE:  
 268 <221> NAME/KEY: modified\_base  
 269 <222> LOCATION: (1)..(1)  
 270 <223> OTHER INFORMATION: Linker

*It's not allowed in an RNA sequence.  
 For a combined DNA/RNA sequence,  
 use <212> DNA and replace in <2207-2237  
 section*

*same error*

*same*

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/589,955

DATE: 08/28/2006

TIME: 09:40:09

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08282006\J589955.raw

*"n" can only represent a single nucleotide, nothing else*

273 <220> FEATURE:  
 274 <221> NAME/KEY: misc\_feature  
 275 <222> LOCATION: (1)..(1)  
 276 <223> OTHER INFORMATION: "n" is -O-CH.sub.2CH.sub.2-O-CH.sub.2CH.sub.2-NH.sub.2  
 279 <400> SEQUENCE: 19  
 E--> 280 **ncuacaucac gccagucaac** **tt** 22  
 283 <210> SEQ ID NO: 20  
 284 <211> LENGTH: 22  
 285 <212> TYPE: **RNA**  
 286 <213> ORGANISM: Artificial  
 288 <220> FEATURE:  
 289 <223> OTHER INFORMATION: Linker  
 291 <220> FEATURE:  
 292 <221> NAME/KEY: modified\_base  
 293 <222> LOCATION: (1)..(1)  
 294 <223> OTHER INFORMATION: Linker  
 297 <220> FEATURE:  
 298 <221> NAME/KEY: misc\_feature  
 299 <222> LOCATION: (1)..(1)  
 300 <223> OTHER INFORMATION: "n" is -O-CH.sub.2CH.sub.2-O-CH.sub.2CH.sub.2-NH.sub.2  
 303 <400> SEQUENCE: 20  
 E--> 304 **nguugacugg cgugauguag** **tt** 22  
 307 <210> SEQ ID NO: 21  
 308 <211> LENGTH: 21  
 309 <212> TYPE: **RNA**  
 310 <213> ORGANISM: Artificial  
 312 <220> FEATURE:  
 313 <223> OTHER INFORMATION: **Modified thymidine**  
 315 <220> FEATURE:  
 316 <221> NAME/KEY: modified\_base  
 317 <222> LOCATION: (20)..(20)  
 318 <223> OTHER INFORMATION: **Modified thymidine**  
 321 <400> SEQUENCE: 21  
 E--> 322 **cuacaucacg ccagucaact** **t** 21  
 325 <210> SEQ ID NO: 22  
 326 <211> LENGTH: 21  
 327 <212> TYPE: **RNA**  
 328 <213> ORGANISM: Artificial  
 330 <220> FEATURE:  
 331 <223> OTHER INFORMATION: **Modified thymidine**  
 333 <220> FEATURE:  
 334 <221> NAME/KEY: modified\_base  
 335 <222> LOCATION: (20)..(20)  
 336 <223> OTHER INFORMATION: **Modified thymidine**  
 339 <400> SEQUENCE: 22  
 E--> 340 **guugacuggc gugauguagt** **t** 21  
 343 <210> SEQ ID NO: 23  
 344 <211> LENGTH: 21  
 345 <212> TYPE: **RNA**

*same error as above**this does not describe Artificial Sequence**not acceptable in an RNA sequence**same error as above**same*

## RAW SEQUENCE LISTING

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TIME: 09:40:09

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08282006\J589955.raw

```

346 <213> ORGANISM: Artificial
348 <220> FEATURE:
349 <223> OTHER INFORMATION: Modified thymidine
351 <220> FEATURE:
352 <221> NAME/KEY: modified_base
353 <222> LOCATION: (6)..(6)
354 <223> OTHER INFORMATION: Modified thymidine
357 <220> FEATURE:
358 <221> NAME/KEY: modified_base
359 <222> LOCATION: (15)..(15)
360 <223> OTHER INFORMATION: Modified thymidine
363 <400> SEQUENCE: 23
E--> 364 cuacaucacg ccagucac t
367 <210> SEQ ID NO: 24
368 <211> LENGTH: 21
369 <212> TYPE: RNA
370 <213> ORGANISM: Artificial
372 <220> FEATURE:
373 <223> OTHER INFORMATION: Modified thymidine
375 <220> FEATURE:
376 <221> NAME/KEY: modified_base
377 <222> LOCATION: (7)..(7)
378 <223> OTHER INFORMATION: Modified thymidine
381 <220> FEATURE:
382 <221> NAME/KEY: modified_base
383 <222> LOCATION: (12)..(12)
384 <223> OTHER INFORMATION: Modified thymidine
387 <220> FEATURE:
388 <221> NAME/KEY: modified_base
389 <222> LOCATION: (15)..(15)
390 <223> OTHER INFORMATION: Modified thymidine
393 <220> FEATURE:
394 <221> NAME/KEY: modified_base
395 <222> LOCATION: (17)..(17)
396 <223> OTHER INFORMATION: Modified thymidine
399 <400> SEQUENCE: 24
E--> 400 guugacuggc gugauguag t
403 <210> SEQ ID NO: 25
404 <211> LENGTH: 23
405 <212> TYPE: RNA
406 <213> ORGANISM: Artificial
408 <220> FEATURE:
409 <223> OTHER INFORMATION: Linker
411 <220> FEATURE:
412 <221> NAME/KEY: modified_base
413 <222> LOCATION: (1)..(1)
414 <223> OTHER INFORMATION: Linker
417 <220> FEATURE:
418 <221> NAME/KEY: misc_feature

```

## RAW SEQUENCE LISTING

DATE: 08/28/2006

PATENT APPLICATION: US/10/589,955

TIME: 09:40:09

Input Set : A:\PTO.RJ.txt

Output Set : N:\CRF4\08282006\J589955.raw

419 <222> LOCATION: (1)..(1)  
 420 <223> OTHER INFORMATION: "n" is -O-CH.sub.2CH.sub.2-O-CH.sub.2CH.sub.2-NH-R.sup.1  
 423 <400> SEQUENCE: 25  
 E--> 424 ncuacaucac gccagucaac tt 22  
 427 <210> SEQ ID NO: 26  
 428 <211> LENGTH: 21  
 429 <212> TYPE: RNA  
 430 <213> ORGANISM: Artificial  
 432 <220> FEATURE:  
 433 <223> OTHER INFORMATION: Modified thymidine  
 435 <220> FEATURE:  
 436 <221> NAME/KEY: modified\_base  
 437 <222> LOCATION: (20)..(20)  
 438 <223> OTHER INFORMATION: Modified thymidine  
 441 <400> SEQUENCE: 26  
 E--> 442 cuacaucacg ccagucaact t 21  
 445 <210> SEQ ID NO: 27  
 446 <211> LENGTH: 22  
 447 <212> TYPE: RNA  
 448 <213> ORGANISM: Artificial  
 450 <220> FEATURE:  
 451 <223> OTHER INFORMATION: Modified thymidine  
 453 <220> FEATURE:  
 454 <221> NAME/KEY: modified\_base  
 455 <222> LOCATION: (1)..(1)  
 456 <223> OTHER INFORMATION: Linker  
 459 <220> FEATURE:  
 460 <221> NAME/KEY: modified\_base  
 461 <222> LOCATION: (21)..(21)  
 462 <223> OTHER INFORMATION: Modified thymidine  
 465 <220> FEATURE:  
 466 <221> NAME/KEY: misc\_feature  
 467 <222> LOCATION: (1)..(1)  
 468 <223> OTHER INFORMATION: "n" is -O-CH.sub.2CH.sub.2-O-CH.sub.2CH.sub.2-NH-R.sup.1  
 471 <400> SEQUENCE: 27  
 E--> 472 ncuacaucac gccagucaac tt 22  
 475 <210> SEQ ID NO: 28  
 476 <211> LENGTH: 22  
 477 <212> TYPE: RNA  
 478 <213> ORGANISM: Artificial  
 480 <220> FEATURE:  
 481 <223> OTHER INFORMATION: Modified thymidine  
 483 <220> FEATURE:  
 484 <221> NAME/KEY: modified\_base  
 485 <222> LOCATION: (1)..(1)  
 486 <223> OTHER INFORMATION: Linker  
 489 <220> FEATURE:  
 490 <221> NAME/KEY: modified\_base  
 491 <222> LOCATION: (3)..(3)

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/589,955

DATE: 08/28/2006

TIME: 09:40:09

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08282006\J589955.raw

492 <223> OTHER INFORMATION: Modified thymidine  
495 <220> FEATURE:  
496 <221> NAME/KEY: modified\_base  
497 <222> LOCATION: (7)..(7)  
498 <223> OTHER INFORMATION: Modified thymidine  
501 <220> FEATURE:  
502 <221> NAME/KEY: modified\_base  
503 <222> LOCATION: (16)..(16)  
504 <223> OTHER INFORMATION: Modified thymidine  
507 <220> FEATURE:  
508 <221> NAME/KEY: modified\_base  
509 <222> LOCATION: (21)..(21)  
510 <223> OTHER INFORMATION: Modified thymidine  
513 <220> FEATURE:  
514 <221> NAME/KEY: misc\_feature  
515 <222> LOCATION: (1)..(1)  
516 <223> OTHER INFORMATION: "n" is -O-CH.sub.2CH.sub.2-O-CH.sub.2CH.sub.2NH-R.sup.1  
519 <400> SEQUENCE: 28  
E--> 520 ncuacaucac gccagucaac tt

*Handwritten annotations:*  
- A circled "tt" at the end of the sequence line.  
- A large oval around the "n" in line 516, with a handwritten "22" to its right.  
- A handwritten "err" above line 509.  
- A handwritten "err" above line 514.

10/589,955

6

<210> 2  
<211> 10  
<212> PRT  
<213> PKIfi

1) do not use scientific symbols. They cannot be processed by CPT software.

2) invalid <213> response

(see item 10 on Error

summary sheet)

Leu Ala Leu Lys Leu Ala Gly Leu Asp Ile  
1 5 10

<210> 3  
<211> 13  
<212> PRT  
<213> MAPKK

same error

This type of error appears in subsequent sequences, too.

7

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/589,955

DATE: 08/28/2006

TIME: 09:40:10

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08282006\J589955.raw

L:11 M:270 C: Current Application Number differs, Replaced Current Application No  
L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:142 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:154 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:280 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:19 after pos.:0  
L:280 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:304 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:20 after pos.:0  
L:304 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:322 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:340 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:364 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:400 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:424 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25 after pos.:0  
L:424 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:442 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:472 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:27 after pos.:0  
L:472 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2  
L:520 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28 after pos.:0  
L:520 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:2